

# FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



# Annual Return (other than OPCs and Small Companies)

Form language  English  Hindi

Refer the instruction kit for filing the form.

## I. REGISTRATION AND OTHER DETAILS

(i) \* Corporate Identification Number (CIN) of the company

U67110MH2006PLC165306

Pre-fill

Global Location Number (GLN) of the company

\* Permanent Account Number (PAN) of the company

AAKCS6909H

(ii) (a) Name of the company

STCI PRIMARY DEALER LIMITEE

(b) Registered office address

A/B1-801 (A-Wing), 8th Floor, Marathon Innova,  
Marathon NextGen Compound, Lower Parel,  
Mumbai  
Maharashtra  
400013

(c) \*e-mail ID of the company

kalpesh@stcipd.com

(d) \*Telephone number with STD code

02266202200

(e) Website

www.stcipd.com

(iii) Date of Incorporation

31/10/2006

Type of the Company	Category of the Company	Sub-category of the Company
Public Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

Yes  No

(vi) \*Whether shares listed on recognized Stock Exchange(s)

Yes  No

(b) CIN of the Registrar and Transfer Agent

U67190MH1999PTC118368

Pre-fill

Name of the Registrar and Transfer Agent

LINK INTIME INDIA PRIVATE LIMITED

Registered office address of the Registrar and Transfer Agents

C-101, 1st Floor, 247 Park,  
Lal Bahadur Shastri Marg, Vikhroli (West)

(vii) \*Financial year From date  (DD/MM/YYYY) To date  (DD/MM/YYYY)

(viii) \*Whether Annual general meeting (AGM) held  Yes  No

(a) If yes, date of AGM

(b) Due date of AGM

(c) Whether any extension for AGM granted  Yes  No

## II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

\*Number of business activities

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	K	Financial and insurance Service	K8	Other financial activities	100

## III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

\*No. of Companies for which information is to be given

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	STCI FINANCE LIMITED	U51900MH1994PLC078303	Holding	100

## IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) \*SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	300,000,000	150,000,000	150,000,000	150,000,000
Total amount of equity shares (in Rupees)	3,000,000,000	1,500,000,000	1,500,000,000	1,500,000,000

Number of classes

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Equity share				
Number of equity shares	300,000,000	150,000,000	150,000,000	150,000,000
Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	3,000,000,000	1,500,000,000	1,500,000,000	1,500,000,000

**(b) Preference share capital**

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	0	0	0	0
Total amount of preference shares (in rupees)	0	0	0	0

Number of classes

0

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees)				

**(c) Unclassified share capital**

Particulars	Authorised Capital
Total amount of unclassified shares	0

**(d) Break-up of paid-up share capital**

Class of shares	Number of shares			Total nominal amount	Total Paid-up amount	Total premium
	Physical	DEMAT	Total			
<b>Equity shares</b>						
At the beginning of the year	0	150,000,000	150,000,000	1,500,000,000	1,500,000,000	
Increase during the year	0	0	0	0	0	0
i. Public Issues	0	0	0	0	0	0
ii. Rights issue	0	0	0	0	0	0
iii. Bonus issue	0	0	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0	0	0

v. ESOPs	0	0	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0	0	0
vii. Conversion of Preference share	0	0	0	0	0	0
viii. Conversion of Debentures	0	0	0	0	0	0
ix. GDRs/ADRs	0	0	0	0	0	0
x. Others, specify				0	0	
na						
<b>Decrease during the year</b>	0	0	0	0	0	0
i. Buy-back of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify				0	0	
na						
<b>At the end of the year</b>	0	150,000,000	150000000	1,500,000,0	1,500,000,	
<b>Preference shares</b>						
<b>At the beginning of the year</b>	0	0	0	0	0	
<b>Increase during the year</b>	0	0	0	0	0	0
i. Issues of shares	0	0	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0	0	0
iii. Others, specify				0	0	
na						
<b>Decrease during the year</b>	0	0	0	0	0	0
i. Redemption of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify				0	0	
na						
<b>At the end of the year</b>	0	0	0	0	0	

ISIN of the equity shares of the company

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) \*

Nil

[Details being provided in a CD/Digital Media]

Yes

No

Not Applicable

Separate sheet attached for details of transfers

Yes

No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting		19/09/2022	
Date of registration of transfer (Date Month Year)		28/06/2022	
Type of transfer	Equity Shares	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	1	Amount per Share/ Debenture/Unit (in Rs.)	10
Ledger Folio of Transferor		NA	
Transferor's Name	Madhav		Pradeep
	Surname	middle name	first name
Ledger Folio of Transferee		NA	

Transferee's Name	<input type="text" value="Vishnubhotla"/>	<input type="text"/>	<input type="text" value="Narayanamurthy"/>
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)	<input type="text"/>
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Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
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Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
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Ledger Folio of Transferor	<input type="text"/>
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Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Ledger Folio of Transferee	<input type="text"/>
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Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

**(iv) \*Debentures (Outstanding as at the end of financial year)**

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
<b>Total</b>			0

**Details of debentures**

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

V. \*Turnover and net worth of the company (as defined in the Companies Act, 2013)

(i) Turnover

8,476,433,588.99

(ii) Net worth of the Company

7,899,697,127.57

VI. (a) \*SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	6	0	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	

6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	149,999,994	100	0	
10.	Others NA	0	0	0	
	<b>Total</b>	150,000,000	100	0	0

Total number of shareholders (promoters)

7

**(b) \*SHARE HOLDING PATTERN - Public/Other than promoters**

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	0	0	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	0	0	0	
10.	Others NA	0	0	0	



	<b>Total</b>	0	0	0	0
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Total number of shareholders (other than promoters)

Total number of shareholders (Promoters+Public/  
Other than promoters)

**VII. \*NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS**  
(Details, Promoters, Members (other than promoters), Debenture holders)

Details	At the beginning of the year	At the end of the year
Promoters	7	7
Members (other than promoters)	0	0
Debenture holders	0	0

**VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

**(A) \*Composition of Board of Directors**

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
<b>A. Promoter</b>	0	0	0	0	0	0
<b>B. Non-Promoter</b>	1	7	1	7	0	0
(i) Non-Independent	1	4	1	4	0	0
(ii) Independent	0	3	0	3	0	0
<b>C. Nominee Directors representing</b>	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
<b>Total</b>	1	7	1	7	0	0

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

**(B) (i) \*Details of directors and Key managerial personnel as on the closure of financial year**

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
PRADEEP MOTIRAM M	00267422	Director	0	
VENKATARAMANI RAI	00829107	Director	0	05/09/2023
PRASANNA PATANKA	07658714	Managing Director	1	
VENKATESWARA RAC	05273533	Director	0	
VARDA PRADEEP PEN	00152752	Director	0	
NARAYANAMURTHY V	00555704	Director	1	
PRAKASH KASHINATH	09336265	Director	0	
KALPESH CHANDRAK	ANDPM1406C	CFO	0	
KALPESH CHANDRAK	ANDPM1406C	Company Secretar	0	
SASIDHARAN MANGA	10052548	Additional director	0	

**(ii) Particulars of change in director(s) and Key managerial personnel during the year**

3

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
RAGHVENDRA KUMAR	08045355	Director	31/01/2023	Cessation due to Resignation
VARDA PRADEEP PEN	00152752	Director	01/04/2022	Appointment
SASIDHARAN MANGA	10052548	Additional director	25/03/2023	Appointment

**IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS****A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS**

Number of meetings held

1

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
AGM	19/09/2022	7	6	99.99

**B. BOARD MEETINGS**

\*Number of meetings held

8

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	27/04/2022	8	8	100
2	23/06/2022	8	8	100
3	26/07/2022	8	8	100
4	02/09/2022	8	8	100
5	20/10/2022	8	8	100
6	06/12/2022	8	8	100
7	13/01/2023	8	7	87.5
8	25/03/2023	8	7	87.5

**C. COMMITTEE MEETINGS**

Number of meetings held

25

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	Audit Committ	20/04/2022	3	3	100
2	Audit Committ	27/04/2022	3	3	100
3	Audit Committ	23/06/2022	3	3	100
4	Audit Committ	26/07/2022	3	3	100
5	Audit Committ	02/09/2022	3	3	100
6	Audit Committ	20/10/2022	3	3	100
7	Audit Committ	13/01/2023	3	3	100
8	Audit Committ	25/03/2023	3	2	66.67
9	Risk Manager	20/04/2022	3	3	100
10	Risk Manager	26/04/2022	3	3	100

**D. \*ATTENDANCE OF DIRECTORS**

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	
1	PRADEEP MC	8	8	100	13	13	100	Yes
2	VENKATARAI	8	8	100	25	25	100	No
3	PRASANNA F	8	8	100	3	3	100	Yes
4	VENKATESW	8	7	87.5	22	20	90.91	Yes
5	VARDA PRAC	8	7	87.5	4	4	100	Yes
6	NARAYANAM	8	8	100	4	4	100	Yes
7	PRAKASH KA	8	8	100	11	11	100	Yes
8	SASIDHARAN	1	1	100	0	0	0	Yes

**X. \*REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

1

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	PRASANNA PATAN	Managing Direct	20,402,984	0	0	0	20,402,984
	Total		20,402,984	0	0	0	20,402,984

Number of CEO, CFO and Company secretary whose remuneration details to be entered

1

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	KALPESH CHANDR	Company Secre	5,473,730	0	0	0	5,473,730
	Total		5,473,730	0	0	0	5,473,730

Number of other directors whose remuneration details to be entered

8

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	VENKATESWARA	Director	0	0	0	1,040,000	1,040,000
2	PRAKASH VARTAN	Director	0	0	0	760,000	760,000

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
3	VARDA PENDSE	Director	0	0	0	500,000	500,000
4	VENKATARAMANI	Director	0	0	0	1,150,000	1,150,000
5	PRADEEP MOTIRAJ	Director	0	0	0	790,000	790,000
6	NARAYANAMURTHY	Director	0	0	0	580,000	580,000
7	RAGHVENDRA KUMAR	Director	0	0	0	350,000	350,000
8	SASIDHARAN MANI	Director	0	0	0	50,000	50,000
	Total		0	0	0	5,220,000	5,220,000

#### XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

\* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year  Yes  No

B. If No, give reasons/observations

#### XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS  Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES  Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

#### XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

Yes  No

#### XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

Dilip Bharadiya

Whether associate or fellow

Associate  Fellow

Certificate of practice number

6740

#### I/We certify that:

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.  
(b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

#### Declaration

I am Authorised by the Board of Directors of the company vide resolution no. ...  dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

**Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.**

#### To be digitally signed by

Director

PRASANNA  
PATANKAR

DIN of the director

07658714

#### To be digitally signed by

Dilip  
Bharadiya  
Digitally signed by  
Dilip Bharadiya  
Date: 2023.10.17  
14:28:53 +05'30'

Company Secretary

Company secretary in practice

Membership number

7956

Certificate of practice number

6740

**Attachments**

- 1. List of share holders, debenture holders
- 2. Approval letter for extension of AGM;
- 3. Copy of MGT-8;
- 4. Optional Attachement(s), if any

**List of attachments**

<b>Attach</b>
<b>Attach</b>
<b>Attach</b>
<b>Attach</b>

Shareholding Pattern_31032023.pdf
MGT8.pdf
Details of Board_Committee Meetings_FY
Clarification Letter_ISIN_KMP Details.pdf
Clarification Letter_Attendance of Director,
Clarification on Membership of CS.pdf

**Remove attachment**

Modify

Check Form

Prescribed

Submit

**This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company**



# STCI PRIMARY DEALER LIMITED

## Details of Meetings during FY 2022-23 (Annexure to MGT – 7)

Sr. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance		
				Number of members attended	as % of total members	Name of Directors attended
<b>BOARD MEETING</b>						
1.	Board Meeting	April 27, 2022	8	8	100	Mr. T.V.Rao Mr. Prakash Vartak Ms. Varda Pendse Mr. R. Venkataramani Mr. Raghvendra Kumar Mr. Pradeep Madhav Mr. V. Narayanamurthy Mr. Prasanna Patankar
2.	Board Meeting	June 23, 2022	8	8	100	Mr. T.V.Rao Mr. Prakash Vartak Ms. Varda Pendse Mr. R. Venkataramani Mr. Raghvendra Kumar Mr. Pradeep Madhav Mr. V. Narayanamurthy Mr. Prasanna Patankar
3.	Board Meeting	July 26, 2022	8	8	100	Mr. T.V.Rao Mr. Prakash Vartak Ms. Varda Pendse Mr. R. Venkataramani Mr. Raghvendra Kumar Mr. Pradeep Madhav Mr. V. Narayanamurthy Mr. Prasanna Patankar
4.	Board Meeting	September 02, 2022	8	8	100	Mr. T.V.Rao Mr. Prakash Vartak Ms. Varda Pendse Mr. R. Venkataramani Mr. Raghvendra Kumar Mr. Pradeep Madhav Mr. V. Narayanamurthy Mr. Prasanna Patankar







Sr. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance		
				Number of members attended	as % of total members	Name of Directors attended
5.	Board Meeting	October 20, 2022	8	8	100	Mr. T.V.Rao Mr. Prakash Vartak Ms. Varda Pendse Mr. R. Venkataramani Mr. Raghvendra Kumar Mr. Pradeep Madhav Mr. V. Narayanamurthy Mr. Prasanna Patankar
6.	Board Meeting	December 06, 2022	8	8	100	Mr. T.V.Rao Mr. Prakash Vartak Ms. Varda Pendse Mr. R. Venkataramani Mr. Raghvendra Kumar Mr. Pradeep Madhav Mr. V. Narayanamurthy Mr. Prasanna Patankar
7.	Board Meeting	January 13, 2023	8	7	87.5	Mr. T.V.Rao Mr. Prakash Vartak Mr. R. Venkataramani Mr. Raghvendra Kumar Mr. Pradeep Madhav Mr. V. Narayanamurthy Mr. Prasanna Patankar
8.	Board Meeting	March 25, 2023	8	7	87.5	Mr. Prakash Vartak Ms. Varda Pendse Mr. R. Venkataramani Mr. Sasidharan Mangalamkat Mr. Pradeep Madhav Mr. V. Narayanamurthy Mr. Prasanna Patankar





COMMITTEE MEETINGS						
A. AUDIT COMMITTEE MEETING						
Sr. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance		
				Number of members attended	as % of total members	Name of Directors attended
1.	Audit Committee Meeting	April 20, 2022	3	3	100	Mr. T. V. Rao Mr. Prakash Vartak Mr. R. Venkataramani
2.	Audit Committee Meeting	April 27, 2022	3	3	100	Mr. T. V. Rao Mr. Prakash Vartak Mr. R. Venkataramani
3.	Audit Committee Meeting	June 23, 2022	3	3	100	Mr. T. V. Rao Mr. Prakash Vartak Mr. R. Venkataramani
4.	Audit Committee Meeting	July 26, 2022	3	3	100	Mr. T. V. Rao Mr. Prakash Vartak Mr. R. Venkataramani
5.	Audit Committee Meeting	September 02, 2022	3	3	100	Mr. T. V. Rao Mr. Prakash Vartak Mr. R. Venkataramani
6.	Audit Committee Meeting	October 20, 2022	3	3	100	Mr. T. V. Rao Mr. Prakash Vartak Mr. R. Venkataramani
7.	Audit Committee Meeting	January 13, 2023	3	3	100	Mr. T. V. Rao Mr. Prakash Vartak Mr. R. Venkataramani
8.	Audit Committee Meeting	March 25, 2023	3	2	66.67	Mr. Prakash Vartak Mr. R. Venkataramani





<b>B. RISK MANAGEMENT COMMITTEE MEETING</b>						
<b>Sr. No.</b>	<b>Type of meeting</b>	<b>Date of meeting</b>	<b>Total Number of Members as on the date of the meeting</b>	<b>Attendance</b>		
				<b>Number of members attended</b>	<b>as % of total members</b>	<b>Name of Directors attended</b>
1.	Risk Management Committee Meeting	April 20, 2022	3	3	100	Mr. T.V.Rao Mr. R. Venkataramani Mr. Pradeep Madhav
2.	Risk Management Committee Meeting	April 26, 2022	3	3	100	Mr. T.V.Rao Mr. R. Venkataramani Mr. Pradeep Madhav
3.	Risk Management Committee Meeting	May 07, 2022	3	3	100	Mr. T.V.Rao Mr. R. Venkataramani Mr. Pradeep Madhav
4.	Risk Management Committee Meeting	June 11, 2022	3	3	100	Mr. T.V.Rao Mr. R. Venkataramani Mr. Pradeep Madhav
5.	Risk Management Committee Meeting	July 25, 2022	3	3	100	Mr. T.V.Rao Mr. R. Venkataramani Mr. Pradeep Madhav
6.	Risk Management Committee Meeting	September 02, 2022	3	3	100	Mr. T.V.Rao Mr. R. Venkataramani Mr. Pradeep Madhav
7.	Risk Management Committee Meeting	October 20, 2022	3	3	100	Mr. T.V.Rao Mr. R. Venkataramani Mr. Pradeep Madhav
8.	Risk Management Committee Meeting	December 06, 2022	3	3	100	Mr. T.V.Rao Mr. R. Venkataramani Mr. Pradeep Madhav





Sr. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance		
				Number of members attended	as % of total members	Name of Directors attended
9.	Risk Management Committee Meeting	January 24, 2023	3	3	100	Mr. T.V.Rao Mr. R. Venkataramani Mr. Pradeep Madhav
10.	Risk Management Committee Meeting	March 25, 2023	3	3	100	Mr. T.V.Rao Mr. R. Venkataramani Mr. Pradeep Madhav

C. NOMINATION & REMUNERATION COMMITTEE MEETING						
1.	Nomination & Remuneration Committee Meeting	June 23, 2022	4	4	100	Mr. T. V. Rao Ms. Varda Pendse Mr. R. Venkataramani Mr. V. Narayanamurthy
2.	Nomination & Remuneration Committee Meeting	July 25, 2022	4	4	100	Mr. T. V. Rao Ms. Varda Pendse Mr. R. Venkataramani Mr. V. Narayanamurthy
3.	Nomination & Remuneration Committee Meeting	December 06, 2022	4	4	100	Mr. T. V. Rao Ms. Varda Pendse Mr. R. Venkataramani Mr. V. Narayanamurthy
4.	Nomination & Remuneration Committee Meeting	March 25, 2023	4	3	75	Ms. Varda Pendse Mr. R. Venkataramani Mr. V. Narayanamurthy





D. CORPORATE SOCIAL RESPONSIBILITY COMMITTEE MEETING						
Sr. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance		
				Number of members attended	as % of total members	Name of Directors attended
1.	Corporate Social Responsibility Committee Meeting	April 26, 2022	4	4	100	Mr. Prakash Vartak Mr. R. Venkataramani Mr. Pradeep Madhav Mr. Prasanna Patankar
2.	Corporate Social Responsibility Committee Meeting	July 25, 2022	4	4	100	Mr. Prakash Vartak Mr. R. Venkataramani Mr. Pradeep Madhav Mr. Prasanna Patankar
3.	Corporate Social Responsibility Committee Meeting	October 20, 2022	4	4	100	Mr. Prakash Vartak Mr. R. Venkataramani Mr. Pradeep Madhav Mr. Prasanna Patankar

This is issued for submission as an attachment to the e-Form MGT 7 for FY2022-23.

**For STCI Primary Dealer Limited**



**Kalpesh Mody**

**Company Secretary**

**Membership no: FCS 9773**

Date: - September 25, 2023

Place: - Mumbai



# STCI PRIMARY DEALER LIMITED

## SHAREHOLDING PATTERN AS ON MARCH 31, 2023

Sr. No.	Name of Shareholders	Shares held (nos.)
1.	STCI Finance Limited	149,999,994
2.	Mr. Kamlesh Rathi*	1
3.	Mr. Rajiv Ranjan*	1
4.	Mr. Narayana Murthy Vishnubhotla*	1
5.	Mr. Prasanna Patankar*	1
6.	Ms. Sabita Braganza*	1
7.	Ms. Suparna Sharma*	1
	<b>Total</b>	<b>150,000,000</b>

\* Nominee of STCI Finance Limited

The Company is a wholly owned subsidiary of STCI Finance Limited.

This shareholding pattern is issued for submission as an attachment to the e-Form MGT 7 for FY2022-23.

**For STCI Primary Dealer Limited**



**Kalpesh Mody**  
**Company Secretary**  
**Membership no: FCS 9773**

Date: September 25, 2023

Place: Mumbai



# STCI PRIMARY DEALER LIMITED

## TO WHOM SO EVER IT MAY CONCERN

1. The shares of STCI Primary Dealer Limited ('the Company') are held in demat mode. The ISIN of the equity shares of the Company is INE526I01019. This clarification letter is being submitted as while filing eForm MGT-7 (for FY2022-23), we are unable to insert the ISIN: INE526I01019 on Page 4 of the eform MGT-7.
2. The number of Directors and Key Managerial Personnel (who is not director) as on the financial year end date (i.e as on March 31, 2023) is **Nine (9)**. The details of the Directors and Key Managerial Personnel as on the closure of financial year is as under:

Name	DIN/PAN	Designation	No of equity share(s) held	Date of Cessation (after closure of financial year, if any)
Mr. T. V. Rao	05273533	Director	0	---
Mr. Prakash Vartak	09336265	Director	0	---
Mr. R. Venkataramani	00829107	Director	0	05/09/2023
Ms. Varda Pendse	00152752	Director	0	---
Mr. Pradeep Madhav	00267422	Director	0	---
Mr. V Narayanamurthy*	00555704	Director	1 <sup>#</sup>	---
Mr. Sasidharan Mangalamkat	10052548	Additional Director	0	---
Mr. Prasanna Patankar*	07658714	Managing Director	1	---
Mr. Kalpesh Mody	ANDPM1406C	Company Secretary & CFO	0	---

\* One (1) share held by Mr. Pradeep Madhav had been transferred to Mr. V. Narayanamurthy on June 28, 2022. Thereafter, Mr. V. Narayanamurthy holds one (1) share as a nominee of STCI Finance Limited with effect from June 28, 2022.

\*Holds one (1) share as a nominee of STCI Finance Limited.

This clarification letter is being submitted as while filing eForm MGT-7 (for FY2022-23), the designation on details of Directors & KMP in relation to Point VIII (B)(i) is filled from the options in drop-down box which does not allow us to merge the designation of 'Company Secretary & Chief Financial Officer (CFO)'. Hence, the number of Directors & KMP on Page 9 is specified as Ten (10).

We request you to kindly take note of the same.

This clarification is submitted alongwith the eForm MGT-7 for the FY2022-23.

For STCI Primary Dealer Limited



**Kalpesh Mody**  
Company Secretary  
FCS: 9773

Place: Mumbai  
Date: October 10, 2023





# STCI PRIMARY DEALER LIMITED

## TO WHOM SO EVER IT MAY CONCERN

This clarification letter being filed with Annual Return Form MGT 7 for FY2022-23, should be read in conjunction with Form AOC 4 (NBFC) for filing of financial statements and other documents with registrar for the FY2022-23, with respect to Directors Report annexed as part of attachment of Form AOC 4 (NBFC) for said FY2022-23, wherein, due to a typo-error, in Annexure I - Report on Corporate Governance (part of Directors' Report for FY2022-23), the attendance of Mr. T. V. Rao under Nomination and Remuneration Committee had been inadvertently mentioned as '4'. However, the correct count for attendance is '3'. Hence, the same shall be read accordingly.

On identification of the said typo error, we had rectified the same in all the copies of the Annexure I - Report on Corporate Governance (part of Directors' Report for FY2022-23), and also intimated the same to the regulators for their records.

This clarification is submitted alongwith the eForm MGT -7 for the FY2022-23, and the same to be read in conjunction with Form AOC-4 (NBFC) for FY2022-23.

**For STCI Primary Dealer Limited**



**Kalpesh Mody**  
**Company Secretary**  
**FCS: 9773**

Place: Mumbai

Date: October 10, 2023





# STCI PRIMARY DEALER LIMITED

## TO WHOM SO EVER IT MAY CONCERN

I, Kalpesh C. Mody, Company Secretary of STCI Primary Dealer Limited bearing CIN:- U67110MH2006PLC165306, would like to inform that I am employed and designated as a Company Secretary of STCI Primary Dealer Limited and my membership number with the Institute of Company Secretaries of India (ICSI) is designated as a Fellow Member is 'F9773'. My previous membership number as an Associate Member of ICSI was 'A26461'.

This clarification letter is being submitted as while filing e-Form MGT 7 for FY2022-23, at the time of Prescrutiny, an error is displayed while digitally signing the e-form as 'Membership Number 9773 is not associated with the Company under the selected designation. DSC is not registered with 9773 on MCA portal. Register your DSC'. The screenshot of the error is enclosed herewith. We have already registered my DSC under the role Secretary/ Manager on MCA Portal. We have also tried with both Associate as well as Fellow Membership Number, however the same is not being accepted by the system.

We had also sought clarification with ICSI on the error being displayed to which they have provided a response stating that 'details are perfectly ok in Institute and also sent to MCA'. The email communication is enclosed herewith.

Since both the Associate as well as Fellow Membership number of Company Secretary is not being accepted by MCA system, e-form MGT 7 is being signed by Practising Company Secretary having Certificate of practice number as '6740'.

We request you to kindly take note of the same.

This is issued for submission along with the e- Form MGT 7 for FY2022-23.

**For STCI Primary Dealer Limited**



**Kalpesh Mody**  
**Company Secretary**  
**FCS: 9773**

Place: Mumbai

Date: October 17, 2023

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**List of attachments**

- Shareholding Pattern\_31032023.pdf
- MGT8.pdf
- Details of Board, Committee Meetings, FY
- Clarification Letter\_ISIN\_KMP\_Details.pdf
- Clarification Letter\_Attendance of Director,

Remove attachment

Submit

Check Form

Prescription

Modify

Adobe Acrobat

Membership Number 9723 is not associated with the company under the selected designation.  
DSC is not registered with 9723 on MCA portal. Register your DSC.

OK

**This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company**

**Kalpesh Mody**

---

**Subject:** FW: Update of membership details  
**Attachments:** Error-FCS.jpg; Error- ACS.jpg; PAN\_Self\_Colour.pdf

----- Forwarded message -----

**From:** Member <member@icsi.edu>  
**To:** [modykalpesh@yahoo.com](mailto:modykalpesh@yahoo.com) <[modykalpesh@yahoo.com](mailto:modykalpesh@yahoo.com)>  
**Sent:** Monday, 18 April, 2022, 12:29:36 pm IST  
**Subject:** Fw: Update of membership details

Dear Member,

As per record we have sent your data to MCA with your fellow details as given below:

Type – F  
Membership no. – 9773  
DOB- 15/08/1982  
First name – KALPESH CHANDRAKANT  
Last name – MODY  
CP – (If applicable)

We request you to kindly raise a ticket in MCA portal indicating therein that "your details are perfectly OK in Institute and also sent to MCA" .

Regards,

Team ICSI

**From:** Kalpesh Mody <[modykalpesh@yahoo.com](mailto:modykalpesh@yahoo.com)>  
**Sent:** Thursday, April 14, 2022 2:00 PM  
**To:** companiesact2013 <[companiesact2013@icsi.edu](mailto:companiesact2013@icsi.edu)>; Member <[member@icsi.edu](mailto:member@icsi.edu)>  
**Subject:** Update of membership details

Sir / Madam,

My Associate Membership number ICSI was 'A26461' and then I had applied for fellow membership.

My Fellow Membership number is 'F9773'.

However, while filing forms on MCA neither the Associate membership number nor the Fellow membership number is considered. Error as received is attached herewith. We are informed that the same has not been updated in MCA records by ICSI and hence the error.

Request you to please update the records with the FCS in order to enable us to file requisite forms with MCA under my membership number. Copy of the PAN card as required is attached herewith.

Looking forward for an early action.

Thanks.

Regards  
Kalpesh